

Compact Disc Submission Checklist - New Applications

Application Number	09 785 342	Receipt Date	2/18
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CD contains:

<input type="checkbox"/>	Nucleotide/amino acid sequence listing. <i>Make a printout of Page 1 of the sequence listing.</i>
<input type="checkbox"/>	Large Tables over 50 pages - subject to publication
<input checked="" type="checkbox"/>	Computer Program Listing
<input type="checkbox"/>	Other material intended to be part of the specification.

Is each file on the CD an ASCII-compatible file?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

The following files are not ASCII-compatible:

Compact Disc

<input checked="" type="checkbox"/>	Copy 1 and Copy 2 were submitted.
<input type="checkbox"/>	Copy 1 or Copy 2 was not submitted.
<input type="checkbox"/>	Neither Copy 1 nor Copy 2 was submitted.

Is an incorporation by reference of the CD made in the transmittal or specification by listing each file on the CD by name, size and date?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

Computer Readable Form (CRF)

<input type="checkbox"/>	Submitted
<input type="checkbox"/>	Not Submitted/Not Required
<input type="checkbox"/>	Request to Transfer Included

Is each file listed in the incorporation by reference in the transmittal or specification also listed on the file directory printouts of the CDs?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

Media

<input checked="" type="checkbox"/>	CD-ROM or CD-R
<input type="checkbox"/>	CD-RW - not acceptable

Are the CDs readable?

<input checked="" type="checkbox"/>	Yes – both CDs are readable
<input type="checkbox"/>	No – one CD is not readable
<input type="checkbox"/>	No – both CDs are not readable

Do the files listed in the incorporation by reference match the files listed in the printouts of the file directories in terms of name, size, and date?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

Are the CDs virus-free?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

Make a printout of file directory listing for Copy 1

Is Copy 2 an exact duplicate of Copy 1?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

Is each file on the CD readable in a text reader?

<input checked="" type="checkbox"/>	Yes
<input type="checkbox"/>	No

The following files are not readable:

Notes

Volume in drive D:\ is 011014 1834
Directory of D:\Program List\

Clpro.rcp.txt	4 KB	10/7/01
Clpro_01_log.txt	1 KB	10/7/01
Clpro_01_msg.txt	1 KB	10/7/01
Clpro_01_msg_seq_ver.txt	1 KB	10/7/01
Clpro_def.h.txt	1 KB	10/7/01
Clpro_struct.h.txt	4 KB	10/7/01
INDEX.TXT	3 KB	10/7/01
Rcp_Custom_Incl.h.txt	1 KB	10/7/01
Rcp_Init.cpp.txt	8 KB	10/7/01
Rcp_Init.h.txt	1 KB	10/7/01
User_Functions_Rcp_Ver...	32 KB	10/7/01
User_Functions_Seq_Ver...	19 KB	10/7/01

12 file(s)
Total filesize 71 KB
0 kilobytes free

Compact Disc Submission Checklist

Application Number

09/785342

Mail Date

2/19

Document Preparation

Each compact disc must be affixed with a Monarch bar code label containing the application number and mail date.	<input checked="" type="checkbox"/>				
Each compact disc must be encased in a protective CD hard case.	<input checked="" type="checkbox"/>				
A plastic CD hard case holder must be affixed to the right inside flap of the file wrapper.	<input checked="" type="checkbox"/>				
The presence of a CD (including how many CDs per set) must be recorded in the PALM Pre-Exam system. This will toggle options associated with CD submission.	<input type="checkbox"/>				
Is a compact disc (or other electronic medium acceptable under 37 CFR 1.821(e)) of the Computer Readable Form (CRF) included in the application? The CRF must be forwarded to the Scientific and Technical Information Center (STIC).	<table border="1"> <tr> <th>Yes</th> <th>No</th> </tr> <tr> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </table>	Yes	No	<input type="checkbox"/>	<input type="checkbox"/>
Yes	No				
<input type="checkbox"/>	<input type="checkbox"/>				

CD Review

	Yes	No
Are there two copies of each CD, and are they labeled "Copy 1" and "Copy 2", respectively? "Copy A" and "Copy B" are also acceptable. If multiple disks, each set should be labeled "1 of x", "2 of x", etc.	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Is each CD labeled with the inventor name(s), title, and creation date? (Also, application number or attorney docket number).	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Has each CD been checked for possible viruses and found to be virus-free?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Is "Copy 2" an exact duplicate copy of "Copy 1" (i.e., same file name, size, date)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Make a printout of the directory file listing for Copy 1 of each CD.	<input checked="" type="checkbox"/>	
Which of the following is contained in the CD(s) according to the transmittal letter and specification?		
Nucleotide/amino acid sequence listing (37 CFR 1.821)	<input type="checkbox"/>	
Computer program listing over 300 lines (37 CFR 1.96)	<input checked="" type="checkbox"/>	
Large tables over 50 pages (37 CFR 1.58)	<input type="checkbox"/>	
Is each file listed on the transmittal letter and specification an ASCII file that is viewable in a text reader?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Make a print out of the first page of the sequence listing if included in CD.	<input type="checkbox"/>	

Formalities Review

	Yes	No
Is reference made to the CD in the transmittal letter and specification?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Is each file listed in the transmittal letter and specification by name, size, date?	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Do the files listed in the transmittal letter and specification match the files listed in the printouts of the file directories in terms of name, size and date?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Comments:

Volume in drive D:\ is 01070913
Directory of D:\

```
..                <DIR>
..                <DIR>

Clpro.rcp.doc      30 KB      2/15/01
Clpro_01_log.doc   21 KB      2/15/01
clpro_01_msg.doc   21 KB      2/15/01
Clpro_01_msg_seq_ver.doc 20 KB      2/15/01
Clpro_def.h.doc    21 KB      2/15/01
Clpro_struct.h.doc 32 KB      2/15/01
INDEX.DOC          24 KB      2/15/01
Rcp_Custom_Incl.h.doc 20 KB      2/15/01
Rcp_Init.cpp.doc   45 KB      2/15/01
Rcp_Init.h.doc     20 KB      2/15/01
User_Functions_Rcp_Ver... 113 KB      2/15/01
User_Functions_Seq_Ver... 78 KB      2/15/01
```

12 file(s)
Total filesize 437 KB
2 folder(s)
0 kilobytes free